**Document Retention Policy**

**1. Introduction**

The purpose of this document is to provide a corporate policy framework to ensure that particular documents (or sets of documents) are dealt with in the correct manner; being retained and/or disposed of in the correct method and timescale.

This policy gives the Council a system for the management of paper and electronic records. The Town Clerk is responsible for ensuring all Council documents are managed accordingly.

This policy is based on the National Association of Local Council’s Legal Topic Note on Local Council’s Documents and Records (legal topic note 40), therefore legal requirements and recommended practice within the sector.

Where the policy refers to ‘documents’ this includes both paper and electronic copies.

**2. Retention of Documents**

Certain important documents must be retained for clear reasons such as audit purposes, staff management, tax liabilities and the eventuality of legal disputes and legal proceedings.

Subject to the above reasons for retaining documents, papers and records may be destroyed if they are no longer of use or relevant. If there is any doubt, the document will be retained until proper advice has been sought.

Attached at Appendix A to this policy are the appropriate minimum document retention periods.

**3. Retention of Documents for Legal Purposes**

Most legal proceedings are governed by the Limitation Act 1980 (as amended). This Act provides that legal claims may not be commenced after a specified period. The specified period varies, depending on the type of claim in question. The table below sets out the limitation periods for the different categories of claim. The reference to ‘category’ in the table refers to claims brought in respect of that category.

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| |  |  | | --- | --- | | **Category** | **Limitation Period** | | Negligence (and other ‘Torts’) | 6 years | | Defamation | 1 year | | Contract | 6 years | | Leases | 12 years | | Sums recoverable by Statute | 6 years | | Personal Injury | 3 years | | To Recover Land | 12 years | | Rent | 6 years | | Breach of Trust | None | |  | | | |
| It should be noted that some limitation periods can be extended. Examples include:   * Where individuals do not become aware of damage until a later date (e.g. in the case of disease) * Where damage is hidden (e.g. to a building) * Where a person is a child or suffers from a mental capacity * Where there has been a mistake by both parties * Where one party has defrauded another or concealed facts.   Where the limitation periods above are longer than other periods specified in this policy, the documentation should be kept for the longer period specified. Some types of legal proceedings may fall within two or more categories; in this instance, the longer period will be observed.  In such circumstances the Town Clerk will consider (i) the costs of storing relevant documents and (ii) the risks of:   * Claims being made; * The value of the claims; and * The inability to defend any claims made should relevant documentation be destroyed.   **4. Disposal**  All Council documents will be handled in the correct manner for their sensitivity.  As per the Council’s Data Protection Policy and the Data Protection Act 1998, any document which contains data on an individual/s or personal data will be disposed of confidentially via the confidential waste bin service within the Council offices.  In an effort to maintain the organisation and efficiency of the workplace and reduce the volume of printing carried out, Council Officers are committed to printing only those documents necessary to have in hard copy and disposing of those which are not necessary to be kept.  Any Councillors wishing to dispose of paper copies of confidential Council documentation will do so via the Council’s confidential waste service.  **5. Responsibility**  The Town Clerk holds responsibility for ensuring all Council employees are aware of and adhering to the Document Retention Policy, in particular the retention of the documents at Appendix A to the policy.  *Review: June 2020 (or as legislation/recommended practice changes).*   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | **APPENDIX A – Document Retention Policy** | | | | | | | **Document** | | **Minimum Retention Period** | | **Reason** | | | **Minute Books (Council, Committees & Sub-Committees)** | | Indefinite | | Archive | | | **Scales of fees and charges** | | 6 years | | Management | | | **Receipt and payments account (s)** | | Indefinite | | Archive | | | **Receipt books of all kinds** | | 6 years | | VAT | | | **Bank statements, including deposit/savings accounts** | | Last completed audit year | | Audit | | | **Bank paying-in books** | | Last completed audit year | | Audit | | | **Cheque book stubs** | | Last completed audit year | | Audit | | | **Quotations and tenders** | | 6 years | | Limitation Act 1980 (as amended) | | | **Paid invoices** | | 6 years | | VAT | | | **Paid cheques** | | 6 years | | Limitation Act 1980 (as amended) | | | **VAT records** | | 6 years generally but 20 years for VAT on rents | | VAT | | | **Petty cash, postage and telephone books** | | 6 years | | Tax, VAT, Limitation Act 1980 (as amended) | | | **Timesheets** | | 3 years | | Personal injury | | | **Wages book** | | 12 years | | Superannuation | | | **Insurance policies** | | While valid | | Management | | | **Certificates for Insurance against liability for employees** | | 40 years from date of which insurance commenced or was renewed | | The Employer’s Liability (Compulsory Insurance) Regulations 1998 (SI.2753), Management | | | **Investments** | | Indefinite | | Audit, Management | | | **Title deeds, leases, agreements, contracts** | | Indefinite | | Audit, Management | | | **Members allowances register (not currently applicable to Peacehaven Town Council)** | | 6 years | | Tax, Limitation Act 1980 (as amended) | | | **Personal data of employees** | | 6 years after employment terminates | | Recommended practice | | | **Document** | | **Minimum Retention Period** | | **Reason** | | |  | |  | |  | | | **Statutory maternity, paternity and adoption pay records and evidence** | | 3 years after the end of the tax year in which the pay period ends | | Maternity and Parental Leave etc. Regulations 1999 | | | **Formal complaints made under the Council’s Complaints procedure** | | 6 years | | Management | | | **Freedom of Information Requests received** | | 6 years | | Management | | | **Adopted Council Policy Documents** | | Indefinite (ar chive after superseded) | | Management | | | **Press releases/publications** | | Indefinite (electronically) | | Management | | | | | |  | | |
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